## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Brian S. McCain, et al.

**Examiner:** 

Unassigned

Serial No.:

Unassigned

**Group Art Unit:** 

Unassigned

Filed:

Herewith

**Docket:** 

TUC920030123US1

(16957)

For:

METHOD AND SYSTEM FOR

Dated:

October 30, 2003

PROVIDING VERSION CONTROL OF PARAMETERS IN A

OF PARAMETERS IN A COMMAND-BASED API USING

JAVA SERIALIZATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## **INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

- 1. U.S. Patent No. 6,519,594 B1 dated February 11, 2003 and issued to Qiaoyun Li:
- 2. U.S. Patent No. 6,470,494 B1 dated October 22, 2002 and issued to Victor S. Chan, et al.;

## **CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

"Express Mail" Mailing Label Number: EV 247990175 US Date of Deposit: October 30, 2003

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents

Alexandria, VA 22313-1450 on October 30, 2003

Dated: October 30, 2003

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- 3. U.S. Patent No. 6,438,559 B1 dated August 20, 2002 and issued to Shannon R.N. White, et al.;
- 4. U.S. Patent No. 6,066,181 dated May 23, 2000 and issued to Jerry D. DeMaster;
- 5. U.S. Patent No. 6,003,050 dated December 14, 1999 and issued to David M. Silver, et al.;
- 6. Prorock, T., "Methods, Systems, and Computer Program Products for Remote Monitoring of Data Processing System Events", Docket No.: RAL919990174US1, abstract;
- 7. Lecture notes from MSc Programming in Java, Univ. of Edinburgh, printed from www.informatics.ed.ac.uk/teaching/modules/pj/2002\_2003/notes/slides-lect10.pdf on August 25, 2003, 5pp; and
- 8. The Java<sup>TM</sup> Tutorial, printed from htp://java.sun.com/docs/books/tutorial/essential/io/(index, providing, serialization, and serializing).html on August 25, 2003, 8pp.

Applicants are submitting copies of the above items, which are not U.S. Patent references.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitte

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Registration No.: 19,

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## Docket Number (Optional) Application Number Unassigned INFORMATION DISCLOSURE CITATION TUC920030123US1 (16957) (Use several sheets if necessary) Applicant(s) Brian S. McCain, et al. Filing Date Group Art Unit Herewith Unassigned U.S. PATENT DOCUMENTS DOCUMENT NUMBER CLASS SUBCLASS FILING DATE REF DATE NAME \*EXAMINER IF APPROPRIATE INITIAL 6,519,594 B1 2/11/03 Qiaoyun Li Victor S. Chan, et 6,470,494 B1 10/22/02 Shannon R.N. White, 6,438,559 B1 8/20/02 et al. Jerry D. DeMaster 6,066,181 5/23/00 David M. Silver, et 12/14/99 6,003,050 FOREIGN PATENT DOCUMENTS TRANSLATION REF DOCUMENT NUMBER DATE COUNTRY CLASS SUBCLASS YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Prorock, T., "Methods, Systems, and Computer Program Products for Remote Monitoring of Data Processing System Events", Docket No.: RAL919990174US1, abstract

The Java<sup>TM</sup> Tutorial, printed from http://java.sun.com/docs/books/tutorial/essential/io/(index, providing, serialization, and serializing).html on August 25, 2003, 2pp

Lecture notes from MSc Programming in Java, Univ. of Edinburgh, printed from www.informatics.ed.ac.uk/teaching/modules/pj/2002\_2003/notes/slides-lect10.pdf on August 25, 2003, 5pp

**EXAMINER** 

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.